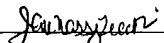
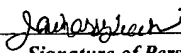


IFW

<b>TRANSMITTAL LETTER</b> (General - Patent Pending)				Docket No. RU-0238	
In Re Application Of: <b>Howell and Vorsa</b>					
Application No. 10/772,566	Filing Date February 4, 2004	Examiner Not yet assigned	Customer No. 26259	Group Art Unit 1632	Confirmation No. 5105
Title: <b>Plant Proanthocyanidin Extract Effective at Inhibiting Adherence of Bacteria with P-Type Fimbriae to Surfaces</b>					
<u>COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is:  Revocation of Power of Attorney Statement Under 37 CFR 3.73(b) with copy of recorded Assignment					
in the above identified application.					
<input checked="" type="checkbox"/> No additional fee is required. <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <b>50-1619</b> as described below. <div style="margin-left: 20px;"><input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.</div> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
<div style="border-bottom: 1px solid black; margin-bottom: 5px;"></div> <div style="text-align: center; margin-bottom: 5px;"><i>Signature</i></div> Jane Massey Licata, Reg. No. 32,257  Licata & Tyrrell P.C. 66 East Main Street Marlton, New Jersey 08053 Tel : 856-810-1515 Fax: 856-810-1454			Dated: <b>October 27, 2004</b>		
CC:			<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on <b>October 27, 2004</b> (Date)</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <i>Signature of Person Mailing Correspondence</i></div> <div style="border: 1px solid black; padding: 5px; text-align: center;">Jane Massey Licata <i>Typed or Printed Name of Person Mailing Correspondence</i></div>		



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Amy B. Howell and Nicholi Vorsa

Application No./Patent No.: 10/772,566

Filed/Issue Date: February 4, 2004

Entitled: Plant Proanthocyanidin Extract Effective at Inhibiting Adherence of Bacteria with P-Type Fimbriae to Surfaces

Rutgers, The State University

a Assignee

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014966, Frame 0872, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document (s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

William T. Adams  
Signature

WILLIAM T. ADAMS  
Printed or Typed Name

DIRECTOR  
Title

10/1/04  
Date

732-932-0115  
Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (09-03)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# **REVOCATION OF POWER OF ATTORNEY and APPOINTMENT OF NEW POWER OF ATTORNEY**

Application Number	10/T12,566
Filing Date	February 4, 2004
First Named Inventor	Amy B. Howell
Art Unit	1632
Examiner Name	Not yet assigned
Attorney Docket	RU-0238

I hereby revoke all previous powers of attorney given in the above-identified application:

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 26259

☒ Please change the correspondence address for the above-identified application to:

☐ The address associated with Customer Number:

☒ Firm or Individual Name Licata & Tyrrell P.C.

Address 66 East Main Street

Address

City Marlton

Country US

State NJ

ZIP

08053

Telephone 356-810-1515

Fax 856-810-1454

I am the:

☐ Applicant/Inventor

☒ Assignee of record of the entire interest. See 37 CFR 3.71  
Statement under 37CFR 3.73(b) is enclosed (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name (✓) WILLIAM T. ADAMS

Signature

*William T. Adams*

Date

10/1/04

Telephone

732-932-0115

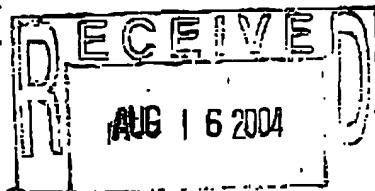
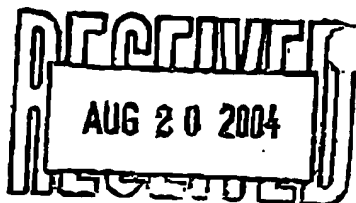
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO) to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450.

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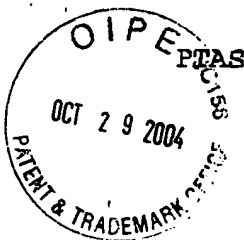
# UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

RU-0238

AUGUST 10, 2004

HALE AND DORR LLP  
M. LISA WILSON  
300 PARK AVENUE  
NEW YORK, NY 10022



\*102670039A\*

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RECORDATION DATE: 02/04/2004

REEL/FRAME: 014966/0872  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
HOWELL, AMY B.

DOC DATE: 11/17/1998

ASSIGNOR:  
VORSA, NICHOLI

DOC DATE: 11/17/1998

ASSIGNEE:  
RUTGERS, THE STATE UNIVERSITY OF  
NEW JERSEY  
OLD QUEENS CAMPUS  
NEW BRUNSWICK, NEW JERSEY 08903

SERIAL NUMBER: 10772566

FILING DATE: 02/04/2004

PATENT NUMBER:

ISSUE DATE:

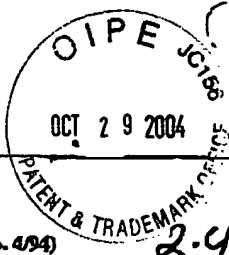
TITLE: PLANT PROANTHOCYANIDIN EXTRACT EFFECTIVE AT INHIBITING UTILITY

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WILMER CUTLER PICKERING  
HALE AND DORR LLP DOCKETING  
RE: 12687-128454  
Action Date: 1/16/04  
Action to be Taken: Transfer  
Docketed By: [Signature] On: 8/16/04

014966/0872 PAGE 2

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102670039

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Amy B. Howell

Nicholi Vorsa

## 2. Name and address of receiving party(ies):

Name:

Rutgers, The State University of  
New Jersey

Address:

Old Queens Campus  
New Brunswick, NJ 08903

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: November 17, 1998

Additional name(s) and address(es) attached?

☐ Yes☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: February 4, 2004

A. Patent Application No. (s)

10772566

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. Lisa Wilson

Internal Address: Hale and Dorr LLP

Street Address: 300 Park Avenue

City: New York State: NY Zip: 10022

## 6. Total number of applications and patents involved:

1

## 7. Total Fee (37 CFR 3.41) ..... \$40.00

Enclosed

☒ Charge fees to Deposit Account

Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

## 8. Deposit account number: 08-0219

(Attach duplicate copy of this page if paying by deposit account.)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

M. Lisa Wilson Reg. No. 34,045

Name of Person Signing

Signature

February 4, 2004

Date

Total number of pages including cover sheet, attachments, and document: 3

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Washington, D.C. 20231

78687-128

Hale and Dorr LLP Docket Number

PAGE 7/2

ID

OCT-04-04 08:39 FROM

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**ASSIGNMENT**

**WHEREAS, we,**

**Amy B. HOWELL  
4192 Pleasant Mills Road  
Hammonton, New Jersey 08037**

**Citizenship: United States**

**Nicholi VORSA  
2290 Tremont Avenue  
Atco, New Jersey 08004**

**Citizenship: United States**

have made new and useful improvements in **PLANT PROANTHOCYANIDIN EXTRACT EFFECTIVE AT INHIBITING ADHERENCE OF BACTERIAL WITH P-TYPE FIMBRIAE TO SURFACES**, for which an application for Letters Patent was filed in the United States Patent and Trademark Office on September 2, 1998, as U.S. Serial No. 09/145,694;

**WHEREAS** **RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY**, having a place of business at Old Queens Campus, New Brunswick, New Jersey 08903, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

**NOW, THEREFORE**, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in any and all countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under an International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United

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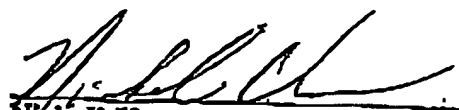
States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that we have not conveyed to others any right, title, or interest in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; that we have good right to assign the same to Assignee without encumbrance; and that we are aware of no claim to the contrary.

5. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries; and to testify to the same in any interference, arbitration, or litigation.

IN TESTIMONY WHEREOF, we have hereunto set our hands and seals this 17 day of November, 1998.

  
Amy B. Howell

  
Nicholi Vorsa

21200-1

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